

Prenatal Depression and Adverse Birth Outcomes: An Updated Systematic Review

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Abstract Complications related to preterm birth (PTB) and low birth weight (LBW) are leading causes of infant morbidity and mortality. Prenatal depression is a hypothesized psychosocial risk factor for both birth outcomes. The purpose of this systematic review was to examine evidence published between 1977 and 2013 on prenatal depression and risks of these primary adverse birth outcomes. A systematic search of the PUBMED and PsycINFO databases was conducted to identify studies testing the associations between prenatal depressive symptoms, or diagnoses of depression, and risk of PTB or LBW. We systematically selected 50 published reports on PTB and length of gestation, and 33 reports on LBW and BW. Results were reviewed by two independent reviewers and we evaluated the quality of the evidence with an established systematic review method, the Newcastle Ottawa Scale. We then undertook a narrative synthesis of the results following the Preferred Reporting Items for Systematic Reviews and Meta-Analyses guidelines. Less than a quarter of 50 published reports found that prenatal depression was significantly associated with PTB or gestational age. In contrast, slightly more than half of the 33 reports found that prenatal depression was associated with LBW or BW. When weighing methodological features, we

determined that the effects of prenatal depression on LBW are more consistent than effects on length of gestation or PTB. Although the evidence may not be strong enough to support routine depression screening for risk of adverse outcomes, screening to enable detection and timely treatment to reduce risk of postpartum depression is warranted. Further rigorous research on prenatal depression and adverse birth outcomes is needed.

Keywords Preterm birth · Prenatal depression · Low birth weight · Mental health · Pregnancy

Introduction

Preterm birth (PTB) is a global public health problem [1]. Approximately 15 million babies are born preterm each year world-wide, and the prevalence of PTB in the United States is approximately 13 %. Furthermore, approximately 8.2 % of infants in the U.S. in 2009 were born low birth weight (LBW), weighing less than 2,500 g [2]. The consequences include increased risk of mortality, health and developmental problems for infants and children, and emotional and economic costs for families [3]. Understanding the etiology and pathways to these two key adverse birth outcomes is of utmost importance [4]. Established risk factors for PTB include previous PTB, multiple gestation, chronic illnesses, smoking, infections, high or low pre-pregnancy weight, and early or advanced maternal age [5]. In the past three decades, research has also identified some psychosocial risk factors, particularly some forms of stress and prenatal anxiety [6]. However, the evidence is not yet definitive regarding whether prenatal depression is a clear risk factor for either PTB or LBW, which is the focus of this review.

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The prevalence of depression during the second and third trimesters has been documented to be twice that as in the general female population [7]. Andersson et al. [8] determined that major depression was present in approximately 3 % and minor depression in 7 % of 1,795 pregnant women, and the majority were found to be undiagnosed and untreated. The trajectory of prenatal depression in 357 pregnant women was characterized by a U-shaped curve decreasing from first trimester (22.1 %) to second trimester (18.9 %) and then increasing again in the third trimester (21.6 %) [9]. More recently, McDonald et al. [10] reported that prenatal depressive symptoms (using the EPDS ≥ 13 cutoff) were present in 12 % of 3,024 women in the *All Our Babies* cohort. Major depression is associated with considerable suffering, disability, and lost productivity, and has been ranked by the World Health Organization as the single most burdensome disease in the world in terms of total disability-adjusted life years [11]. Depression during pregnancy has been associated with poorer maternal health behavior [12] and an increased risk of postpartum depression [13].

Authors of prior literature reviews have remarked on the “inconclusive and inconsistent” evidence regarding links between prenatal depression and adverse birth outcomes [14–20]. We suspected that inconsistencies might be due to differences in methodology, sample size or composition, measurement and timing of depression assessment, and variation in whether studies accounted for potential confounds. Meta-analyses of this relatively small literature cannot easily take all of these design features into account. The specification of the birth outcome is also pertinent; that is, the most precise analyses distinguish spontaneous PTB (SPTB) from medically-indicated birth, which prior reviews and meta-analyses have not generally highlighted. A previous meta-analysis of 29 studies found small though significant associations between prenatal depressive symptoms and PTB, LBW, or intrauterine growth restriction (IUGR) [14]. In this meta-analysis, studies were categorized into those using a continuous depression measure versus those with categorical variables in which researchers compare groups with little or no risk to groups at high-risk of depressive disorder. In studies examining no/low versus high-risk groups, effect sizes appear to be larger (pooled RRs: PTB = 1.39; LBW = 1.49; IUGR = 1.45) than in studies using a continuous depression measure (pooled RRs: PTB = 1.03; LBW = 1.04; IUGR = 1.02). Also, higher risks of adverse birth outcomes were evident in depressed women from developing countries and among depressed women of low SES in the U.S. However, this meta-analysis did not take into account critical design and methodological features in drawing conclusions about birth outcomes.

The present systematic review therefore addressed an important gap in the literature by qualitatively examining each study with respect to study design and methodological criteria using a formal system. Taking into account study quality, we seek to determine if prenatal depression predicts PTB or LBW and to identify specific methodological reasons for inconsistencies.

Methods

This systematic review utilized state-of-the-art methods per the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines [21] and performed quality assessments using the Newcastle Ottawa Scale (NOS) [22].

Search Strategy

We conducted a systematic review of the PubMed and PsycINFO electronic databases for English language publications testing associations between depression and birth outcomes between April 1977 when the first publication appeared, and April 2013 (when we completed data collection and began the systematic review process). Key-words included *depression*, *depressive disorder*, *prenatal*, *preterm*, *premature*, *prematurity*, *birth weight*, *low birth weight*, *small-for-gestational age*, *gestational age*, *fetal maturity*, *fetal growth retardation/restriction*, and *intrauterine growth retardation/restriction* (see Appendix S1 for keyword combinations). Additional studies were identified by reviewing reference sections of retrieved articles.

Inclusion and Exclusion Criteria

Published observational studies were included if they used validated self-report screening tools to measure depressive symptoms during pregnancy, structured psychiatric interviews during pregnancy, or medical record chart review for current unipolar depression diagnoses. A published article had to include sufficient details on results on depressive symptoms/diagnosis and at least one birth outcome (PTB or GA, LBW or BW, small for gestational age, SGA, or IUGR) in order to be considered for inclusion. Some studies were not designed with the primary goal of testing prenatal depression and birth outcomes, but if relevant results were sufficiently described, they were included e.g. [23–26]. Studies were excluded if they: (1) Measured prenatal depression after the birth of a child (retrospectively) [27–45]; (2) Reported pre-pregnancy/postpartum depression [42–47]; (3) Did not use a reliable and/or valid measure of depression [48–55]; (4) Did not separate depression in analyses from antidepressant use [56–58]; (5)

Combined unipolar with bipolar depression (or other psychiatric diagnoses) [59–67]; or (6) Reported the same data as a previous article [68–72]. Bipolar disorder and other psychiatric conditions were excluded from this systematic review due to their differing etiologies, treatments, and potential relationship to adverse birth outcomes compared to depression.

Study Selection and Data Extraction

Figure 1 provides an overview of the study selection and data extraction process per PRISMA guidelines [21]. Over 1,300 records were identified, and 142 full-text articles met sufficient criteria for detailed examination. Ninety-five full-length articles met the full inclusion criteria for this systematic review, and 108 published findings were available in the 95 articles. The total number of findings is greater than the number of articles because a number of studies tested associations of depression with both LBW/BW and PTB/GA. In total, this review includes 50 published

findings on PTB/GA from 47 articles, 33 findings on LBW/BW from 29 articles, and 25 findings on SGA/IUGR from 19 articles.

Study characteristics regarding design, sample, covariates, and timing and method of depression measurement were extracted (see Tables 1, 2, 3, 4). In addition, we extracted information regarding whether the outcome was categorical (PTB, LBW) or continuous (GA, BW), and whether analyses were conducted for SPTB as a subset of all PTBs. One author conducted data extraction (EEA) and another verified it (AC). Discrepancies occurred in less than one quarter of results and were resolved through discussion with all authors.

Quality Assessment of Included Studies

Studies were also evaluated for methodological quality by two authors using the Newcastle-Ottawa Scale for Quality Assessment [22]. The NOS guidelines judge each study on three broad predefined criteria, some of which require

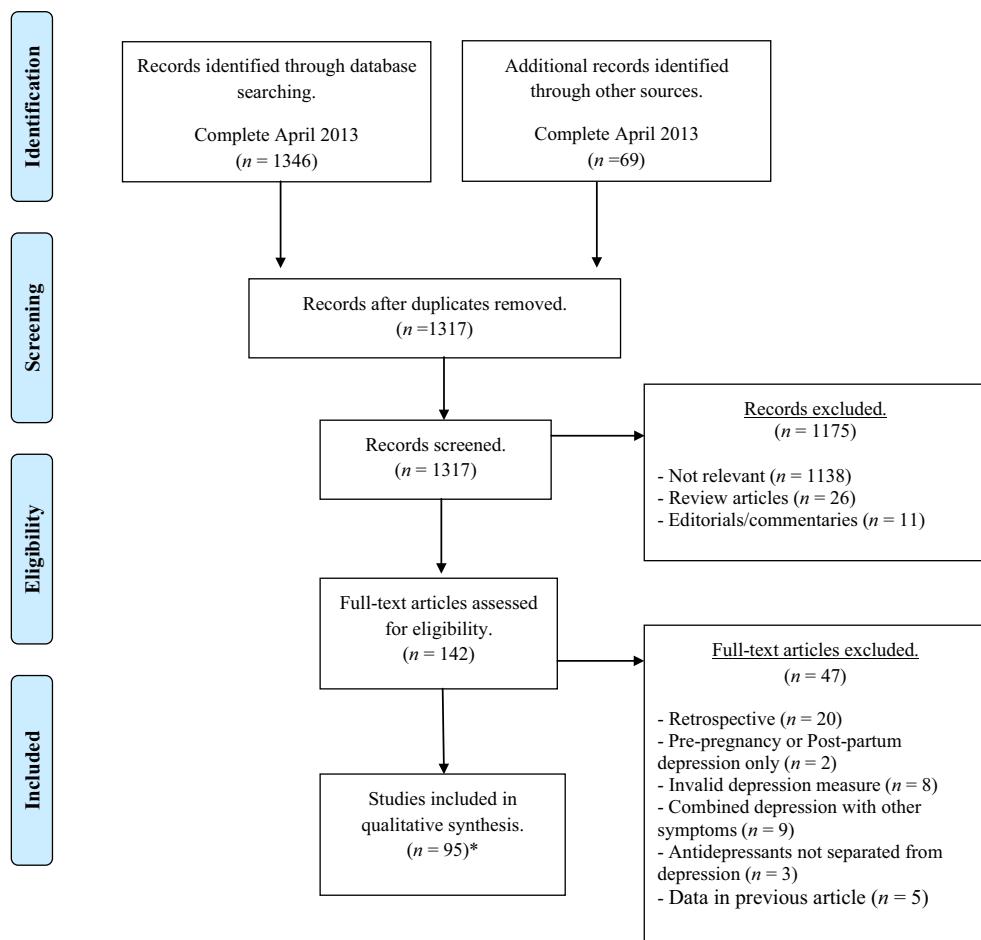


Fig. 1 PRISMA Flow diagram. *This total includes 50 published findings on PTB/GA from 47 articles, 33 findings on LBW/BW from 29 articles, and 25 findings on SGA/IUGR from 19 articles

Table 1 Prenatal depression and PTB/GA—12 significant associations in chronological order

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables (NOS guidelines: 0–2 stars)	Primary results SPTB?	Quality assessment (NOS 5–9 stars; mean = 7)
1.	Steer et al. [129]	N = 389 low SES adults from the U.S.: 28.7 % Puerto Rican, 61.8 % African American, 9.6 % Caucasian. Total N = 712 inner city adults and adolescents	Prospective cohort study. Assessed once in 3rd trimester (28 weeks) with the BDI	2 stars Medical: parity, prior LBW, prior PTD, BMI, adequate weight gain. Excluded if histories of non-obstetric, chronic disease Socio-demographic: Ethnicity, marital status, Medicaid Behavioral: smoking, marijuana, alcohol abuse, or a history of psychiatric illness	No OR = 1.06 for PTB when BDI used as a continuous variable OR 3.39 for PTB when depression cutoff score used Significant association found in adults only, none with any birth outcomes in adolescents	6
2.	Orr et al. [130]	N = 1,399 low income African American women	Prospective cohort study. Assessed once during 1st and 2nd trimester. The median number of weeks of gestation for CESD was 17	2 stars Medical: chronic disease, bleeding, low pre-pregnancy BMI, previous poor pregnancy outcome Socio-demographic: race	Yes Women in top 10 % CESD at greater risk of SPTB (adj. OR 1.96).	8
3.	Rondó et al. [127]	N = 865 low SES Brazilian women	Regional cohort study with 17 sites; Assessed 3 times: <16; 20–26, and 30–36 weeks with GHQ-12	2 stars Medical: pre-pregnancy BMI, age Socio-demographic: education, marital status Behavioral: smoking, drug use Excluded: chronic infectious diseases, metabolic diseases, cardiopathy, mental diseases, hypertension, preeclampsia, eclampsia, vaginal bleeding and multiple deliveries	No GHQ associated with PTB OR 2.32	7
4.	Drewett et al. [126]	N = 12,391 in the UK	Population-based cohort study. Assessed 4 times: 18 and 32 weeks gestation; 8 weeks and 8 months postpartum with the EPDS	No stars Medical: None Socio-demographic: None. A “range of social variables” were accounted for, but only specifically mentions home ownership, use of a car, and #kids in regression analyses Behavioral: None	No Associated with PTB and failure to thrive. After adjustments, the relative risk for PTB was 1.6	5

Table 1 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables (NOS guidelines): 0–2 stars)	Primary results	Quality assessment (NOS 5–9 stars; mean = 7)
5.	Dayan et al. [125], Same cohort as Dayan et al. [72]	N = 641 Caucasian French women	Prospective Cohort Study. Assessed once in 2nd trimester, between 20–28 weeks with the EPDS	2 stars Medical: maternal age, previous PTB, parity, pre-pregnancy BMI, complications of the current pregnancy: vaginal bleeding, urinary tract infection, cervical or vaginal infection, polyhydramnios, preclampsia, or gestational hypertension	Yes Associated with PTB: OR 4.90 (1.60–14.90). The association was stronger with depression when psychotropic users were excluded. Adjusted OR of 4.9. Rate of SPTB was higher for women with higher depression scores than non-depressed. OR 3.3	7
6.	Field et al. [96]	N = 430 USA	Regional; case-control study with multiple sites. Assessed at 22 weeks and again at 32 weeks with SCID and CESD	No stars Medical: None Socio-demographic: None Behavioral: Women weren't on drugs, alcohol, antidepressants or smoking	NA GA (no PTB) outcome	5 The depressed group had newborns with significantly lower GA and BW
7.	Kabir et al. [131]	N = 1,684 total Aged 13–21; 32.6 % Caucasian, 31.6 % Black, 32.6 % Hispanic, and 3.2 % Native American/ Pacific Islander/Asian	Cohort study. Assessed 1 or 2 times: N = 687 1st trimester and N = 415 again in 3rd tri N = 667 2nd tri. and 361 again in 3rd tri. N = 330 with 3rd tri. only. CESD full scale and Non-Somatic CESD Subscale	2 stars Medical: age, chronic medical conditions excluded Socio-demographic: education Behavioral: support	Yes Adolescent mothers who were depressed in the 2nd and 3rd trimesters were at increased risk for inadequate weight gain and both small for gestational age fetuses and preterm delivery (ORs 1.6–1.8). Held up with CES-D variation	7
8.	Li et al. [91]	N = 791 Ethnically diverse Americans	Population-based prospective cohort study. Assessed once during 1st or 2nd trimester; 10 weeks of gestation on average (6–18 weeks) with the CESD.	2 stars Medical: maternal age, gravidity, history of miscarriage, preterm delivery or LBW Socio-demographic: education, race/ethnicity Behavioral: vitamin use, vomiting and smoking during pregnancy Findings maintained after removing women on antidepressants (only 1.5 % of sample)	No Dose-response relationship of risk of PTB associated with depressive symptoms (CESD >16) during early pregnancy. Compared with women CESD <16 women with a CESD >22 had a greater than twofold increased risk of PTB [adjusted hazard ratio (aHR) = 2.2], whereas women with a CESD score of 16–21 had about 60 % increased risk (aHR = 1.6)	7

Table 1 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables (NOS guidelines): 0–2 stars)	Primary results	Quality assessment (NOS 5–9 stars; mean = 7)
9.	Van Dijk et al. [87]	N = 4,044 Amsterdam	Large population-based cohort study. Assessed between 14 and 18 weeks with the CESD	2 stars <i>Medical:</i> maternal age, pre-pregnancy BMI, primiparity, hypertension, onset of delivery <i>Socio-demographic:</i> education after primary school, ethnicity. <i>Behavioral:</i> smoking, alcohol consumption	NA GA (no PTB) outcome	Significant association between major depressive Sx and GA (-0.2 weeks; 95 % confidence interval -0.4 to 0.0). Mild and major depressive Sx, as well as the lowest serum folate quintile, were sig associated with LBW in the univariate model but not after multivariate adjustment
10.	Fransson et al. [132]	N = 2,904 Swedish	A prospective national study. The average length of gestation at assessment time was 16 weeks (SD 3.4) with the EPDS	2 stars Medical: maternal age, parity, previous miscarriage, pregnancy after assisted reproductive technology, and previous infertility ≥ 1 year <i>Socio-demographic:</i> education, employment, marital status, born outside Sweden	No	EPDS >12 associated with PTB OR 1.56
11.	Nylen et al. [97]	N = 235	86 % married, 94 % Caucasian, 75 % working mothers	2 stars Cohort study: 104 were enrolled in 1st trimester and 131 were enrolled in the 2nd trimester SCID interviews were completed after enrollment (M 17.71 weeks, SD 6.68) Over half 58 % were primiparous USA	NA GA (no PTB) outcome	Relative to non-depressed, depressed had babies who weighed less, $p < .001$, were born earlier $p < .001$ After adjusting for controls, major depression was a significant predictor of GA. The interaction of major depression and partner support adequacy also predicted GA
12.	Straub et al. [104]	N = 14,175 pregnant women in USA	70.8 % Caucasian, 7.8 % African American, 13.7 % Hispanic	1 star National case-control study with multiple sites. Assessed between 24 and 28 weeks gestation with the EPDS	No	EPDS associated with PTB adjusted OR 1.3
				<i>Socio-demographic:</i> race, insurance status <i>Behavioral:</i> None		

Control variables: SPTB addressed adequately

NOS Star system allows for ratings of adequate controls: A study that controls for any 3+ variables from two categories (1) medical risk factors (e.g. maternal age, parity, previous PTB, gestational diabetes, chronic hypertension, infections, pre-pregnancy BMI; (2) sociodemographic factors (e.g. Race/ethnicity, education, employment, SES, marital status etc.) and (3) behavioral factors (e.g. Smoking, alcohol, drugs (incl. antidepressants), physical activity etc.) receives 1 star. A study that controls for any 4+ variables in all three categories above receives 2 stars

further specification for the specific review: (1) the selection of the study groups (0–4 stars possible); (2) the comparability of the groups (0–2 stars possible); and (3) the ascertainment of either the exposure or outcome of interest for case–control or cohort studies respectively (0–3 stars possible). Study ratings then range from 0 to 9 stars.

First, quality of *selection* refers to sample representativeness; selection and definition of control groups; and type of depression assessment. Second, quality of *comparability* refers to comparability of cases and controls on the basis of the design or analysis. For example, a study received one star for limiting the sample (e.g. excluding smokers), treating a key variable as an effect measure modifier (e.g. race/ethnicity), or statistically controlling for three or more key variables from at least two categories out of three. The categories of possible control variables were: (1) Medical risk factors (maternal age, parity, previous PTB, etc.); (2) Socio-demographic factors (race/ethnicity, education, etc.); and (3) Behavioral factors (smoking, alcohol, etc.). A study received one additional star if it controlled for at least one variable from each category and a total of four or more variables. Finally, quality of *outcome* refers to whether information on birth outcome variables were obtained from medical records or patient self report; when it was obtained; and the degree of follow-up of cases for birth outcomes data. For example, stars were given for use of medical records, birth weight obtained by scale measurements at time of birth, and better than 80 % follow up with description of those lost.

Disagreement between authors involved differences in interpretations of methods or results with consensus easily achieved in all cases through discussion. Studies with scores ranging from 1 to 3 were considered to be of *low quality*, those with scores of 4–6 of *moderate quality*, and studies with scores from 7 to 9 of *high quality*. Finally, although the NOS does not take sample size into account for quality ratings, we provide sample size information for the benefit of readers.

Definition of Exposure Variable

We included studies of diagnosed depression during pregnancy using criteria from the American Psychiatric Association's (APA) Diagnostic and Statistical Manual of Mental Disorders (DSM) or International Statistical Classification of Diseases (ICD-9 or ICD-10), both of which are widely used classification systems for mental disorders. Validated depression screening tools used in this literature included the Center for Epidemiological Studies Depression Scale (CES-D) [73], Beck Depression Inventory (BDI) [74], Edinburgh Postnatal Depression Scale (EPDS) [75],

General Health Questionnaire (GHQ) [76], Hospital Anxiety and Depression Scale—Subset D (HADS-D) [77], and the Brief Symptom Inventory (BSI) [78]. Two studies using a brief version of one screening tool (SCL-5), in which a majority of items address anxiety, were excluded [52, 53]. Although the EPDS and GHQ also include anxiety items, the majority of items are not anxiety-related and these screening tools are commonly used as to assess prenatal depression, thus they were not excluded.

Definition of Outcome Variables

PTB was defined according to convention as birth before the 37th week of gestation. Approximately half of PTBs can be categorized as the result of spontaneous preterm labor (SPTB), 30 % result from premature rupture of the membranes (PROM), and 20 % due to medically-induced births which have not been proposed to be associated with maternal depression and no literature on this category exists [79]. GA was defined as weeks of gestation at time of delivery and BW in grams. PTB and GA were determined by various methods including calculation from last menstrual period (LMP), ultrasound, and size by dates. Conventional definitions of LBW and SGA were used (2,500 g or less and weight below the 10th percentile for GA).

The most common definition of SGA refers to a weight below the 10th percentile for gestational age [80]. However, this definition does not make a distinction between infants constitutionally small versus growth-restricted, although a baby can be one or both. IUGR is defined as a condition in the third trimester when the baby is not gaining adequate weight. Fetal growth restriction (FGR), a synonym for IUGR, is the term used to designate a fetus that has not reached its growth potential because of genetic or environmental factors; FGR results in the birth of an infant who is small for gestational age (SGA) [81].

Results

A total of 95 studies published between 1977 and 2013 met inclusion criteria. Among these were 76 studies on PTB/GA and LBW/BW, including a total of 83 distinct findings that were extracted. Another 25 findings in 19 published articles were identified on prenatal depression in relation to SGA or IUGR. However, this set of studies was of substantially lower methodological quality compared to studies on PTB/LBW. For this reason, and to narrow the scope of the review, we excluded SGA and IUGR from our analysis (see Appendix S1), concentrating on the remaining 76 studies which are outlined in Tables 1, 2, 3 and 4.

Table 2 Prenatal depression and PTB/GA—35 non-significant associations in chronological order

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables ^a (NOS guidelines: 0–2 stars)	Primary results	Quality assessment (NOS 5–9 stars; Mean = 7.2)
1.	Kumar and Robson [93]	N = 108 first-time pregnant women in central London	Regional cohort study with one site. Depression assessed before 14 weeks gestation with GHQ-30	0 stars Medical: None Socio-demographic: None Behavioral: None	No Maternal prenatal depression not significantly associated with PTB	5
2.	Perkin et al. [88]	N = 1,515 Caucasian women in London.	Prospective cohort study. Assessed at Booking, 28, & 36 weeks with the GHQ depression subscale.	2 stars Medical: age, parity. Socio-demographic: education, employment, marital status. Behavioral: alcohol use.	Yes PTB separated into 5 different outcomes.	7 No significant association of depression or anxiety with PTB.
3.	Copper et al. [120]	N = 2,593 (63 % African American, 35 % Caucasian) Single, low educated USA	National sample with multiple sites (10); depression assessed at 25–29 weeks gestation with Abbreviated Scale for the Assessment of Psychosocial Status in Pregnancy (incl. CESD)	2 stars Medical: maternal age Socio-demographic: race, marital, and educational status, insurance Behavioral: smoking, alcohol, and drugs Excluded: multiple gestation, cerclage, placenta previa, or major fetal anomaly	Yes SPTB only	7 No significant associations found for CESD with PTB or LBW Stress was significantly associated with LBW after demographic controls
4.	Goldenberg et al. [83]	N = 1,491 women who had previously given birth (69 % African American)	Prospective cohort study. Assessed at 24–26 weeks with CESD	2 stars Medical: maternal BMI, height, hypertension and diabetes, infant sex Socio-demographic: race Behavioral: smoking, alcohol, drugs	No for PTB GA also assessed	7 No association of CESD with PTB Only hypertension and not having a car were significant predictors among African American women; low positive life events and poor pain were predictive among Caucasian women
5.	Nordentoft et al. [124]	N = 2,432 Caucasian Women from Denmark	Cohort Study. Assessed once during 2nd trimester (week 20) in clinic with the GHQ-12	2 stars Medical: maternal age Socio-demographic: education, frequency of seeing family and friends, cohabitating, support, reaction to pregnancy Behavioral: smoking and alcohol, tranquilizers	No	8 No significant association of GHQ-12 depression with PTB

Table 2 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables ^a (NOS guidelines: 0–2 stars)	Primary results	Quality assessment (NOS 5–9 stars; Mean = 7.2)
6.	Hoffman & Hatch [90] 2000	N = 666 USA 98 % Caucasian.	Community-based prospective cohort study. Assessed during 2 nd & 3 rd trimesters with CESD. Mailed at 28 and 36 weeks.	<u>2 stars</u> Medical: maternal age, parity, pre-pregnancy weight, pregnancy hypertension.	No for PTB. GA also assessed.	No significant association for depression and gestational duration. 8
7.	Andersson et al. [86]	N = 1,465 Sweden	Cohort study with 2 sites; depression assessed before ultrasound at 16–18 weeks gestation with PRIME-MD	<u>2 stars</u> Medical: age, chronic disease, BMI, parity	Yes	No significant association of depressive disorders and PTB 9
8.	Dole et al. [133] Same cohort as Dole et al. [70]	N = 1,898 mixed race (USA)	Prospective cohort study. Assessed once during 2 nd or 3 rd trimester with the CESD	<u>2 stars</u> Medical: maternal age, parity, pre-pregnancy BMI, bacterial vaginosis	Yes	No association of CESD with PTB, same as Dole 2003. 8
9.	Suri et al. [98]	N = 62 USA	Prospective cohort study. Assessed depression with the CESD in each trimester. SCID once	Socio-demographic: marital, educational and economic status, and SES	Medical: None.	Note: Dole 2004 found significant associations with pregnancy anxiety
				Behavioral: alcohol and smoking	No for PTB GA also assessed.	No significant association of CESD or SCID with GA or BW

Table 2 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables ^a (NOS guidelines: 0–2 stars)	Primary results	Quality assessment (NOS 5–9 stars; Mean = 7.2)
				SPTB?		
10.	Berle et al. [119]	N = 680 Women from Norway	National cohort study; depression assessed during 1st, 2nd and 3rd trimesters of pregnancy with HADS	<i>1 star^a</i> Medical: maternal age Socio-demographic: education Behavioral: smoking, alcohol, and psych medications ^a Because controls only included in first step of analyses	No No significant association of HADS-D with PTB or LBW	7
11.	Engel et al. [25]	N = 52 women near World Trade Center, NYC, USA	Prospective cohort study. Assessment timing in pregnancy not clear. BDI was used	<i>No stars</i> Medical: maternal age All birth weight and head circumference models were adjusted for length of gestation	No for PTB Depression not associated with LBW or SGA GA also assessed PTSD associated with longer GA and smaller head circumference	6
12.	Haas et al. [134]	N = 1,619 Mixed race Americans	Prospective cohort study. Assessed twice during 2nd and 3rd trimesters with the CESD. Depressive Sx if CESD >10	<i>2 stars</i> Medical: maternal age, parity, BMI, medical conditions Socio-demographic: race/ethnicity, education Behavioral: smoking, drugs, exercise	No Depressive symptoms were not associated with PTB in any model	7
13.	Messer et al. [135]	N = 1,908 USA—North Carolina	Prospective cohort study. Assessed at 24–29 weeks by mail with the CESD. Higher cutpoints than are commonly used for the CES-D (≥ 25 , 17 through < 17)	<i>1 star</i> Medical: age Socio-demographic: race, education, and marital status Behavioral: Measured smoking and alcohol, but don't seem to include in analyses	No Medium and high levels of depression were not associated with PTB	7
14.	Neggers et al. [102]	N = 3,149 low-income women in Jefferson County, AL; 81 % African American	Regional cohort study with multiple sites. Assessed once during 2nd trimester (22–23 weeks) with CESD—incorporated into a 28 item Psychosocial Scale	<i>2 stars</i> Medical: maternal age, parity, pre-pregnancy BMI, hypertension, diabetes, and infant gender Socio-demographic: education Behavioral: smoking and alcohol	Yes Negative affect alone was not significant for SPTB Psychosocial distress (larger scale), which includes trait anxiety, stress etc., was significantly associated with PTB, OR 1.30	6

Table 2 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables ^a (NOS guidelines: 0–2 stars)	Primary results	Quality assessment (NOS 5–9 stars; Mean = 7.2)
15.	Rogal et al. [95]	N = 1,100 USA 87 % non-Caucasian	Regional cohort study with multiple sites; depression assessed at any point during pregnancy with the PRIME-MD BHQ	2 stars Medical: maternal age Socio-demographic: race, language Behavioral: tobacco, alcohol, and illicit drug use in pregnancy	No No significant association of prenatal depression with PTB	7 No significant association of depression with PTB or LBW.
16.	Suri et al. [85] Not the same cohort as Suri et al. [98]	N = 90 USA	Prospective naturalistic study. Diagnosed with depression (SCID), and assessed (49 Tx for MDD, 22 MDD no Tx, 19 comparisons) depression monthly Ham-D and BDI	No stars GA confounds controlled for: Medical: maternal age, number of prior pregnancies, hypertension, pre-eclampsia, maternal weight gain	No for PTB GA also assessed.	7 Antidepressant status has a significant effect on GA at birth, beyond the impact of depression and maternal risk factors
17.	Xiong et al. [122]	N = 301 women pregnant during or immediately after Hurricane Katrina	Regional cohort study with two sites; depression assessed once at any point in pregnancy with EPDS	2 stars Medical: age, parity, LBW history Socio-demographic: education, race, marital status, family income Behavioral: smoking, alcohol use	No Depression and PTSD were associated with a non-significant decreased risk of PTB and increased risk of LBW	7 Note: High hurricane exposure was associated with increased risk of LBW (OR 3.3) and PTB (OR 2.3)
18.	Diego et al. [100]	N = 79 mixed race (USA) 59 % Hispanic, 32 % African American, 9 % Caucasian	Regional case-control study; depression assessed at enrollment at 18–20 weeks gestation with CES-D; SCID used for categorization	2 stars Medical: maternal age Socio-demographic: marital status and SES Behavioral: See exclusions Excluded: hypertensive disorders, anemia, vaginal bleeding; IUGR; pregnancy complication; HIV, abnormal pre-pregnancy BMI, metabolic or any psychiatric condition other than depression, smoking, alcohol, drugs, antidepressants or other psych medications	No for PTB GA also assessed.	8 No statistically significant association of depression and GA

Table 2 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables ^a (NOS guidelines: 0–2 stars)	Primary results	Quality assessment (NOS 5–9 stars; Mean = 7.2)
19.	Gavin et al. [46]	N = 3,019 Americans The cohort was 65 % non-Hispanic Caucasian, 25 % African American, and 10 % other	Prospective multicenter (5) cohort study. Assessed once during 2nd trimester (15–27 weeks) with CESD	2 Stars Medical: maternal age, parity, BMI Socio-demographic: race, Medicaid Behavioral: smoking Mediators: smoking, pre-pregnancy BMI, alcohol use, caffeine use, and illicit drug/substance use	Yes Depressive symptoms and use of psych meds were not significantly related to PTB	8
20.	Kramer et al. [89]	N = 5,337 Canadian Women	Combines features of a prospective cohort and a nested case-control design. Assessed at 24–26 weeks with CESD >16, 4 item CDS pregnancy-anxiety, etc.	2 Stars Medical: maternal age and height, parity, pre-pregnancy BMI, and medical/obstetric risk Socio-demographic: education, living arrangement, birthplace, language spoken, family income Behavioral: smoking at the time of the 2nd-trimester interview	Yes No significant association with prenatal depression over and above anxiety Note: Pregnancy-related anxiety was significantly associated with the risk of SPTB	8
21.	Bae et al. [118]	N = 114 South Korean	Regional case-control study with one site. Depression assessed during 1st, 2nd, 3rd trimesters with BDI; BDI <10: low depression, BDI >10: high depression	No stars Medical: pre-pregnancy BMI Socio-demographic: None Behavioral: alcohol	No significant differences in BW or GA were observed between two groups Note: PTB cutoff was 38.5, so examined for GA results only, although the findings were consistent	5
22.	Benute et al. [94]	N = 326 Brazilian women with medical disorders in pregnancy	Regional case-control study with one site; depression assessed at mean gestational age 28.2 weeks with PRIME-MD	No stars Sample was specifically recruited for medical disorders i.e. pre-eclampsia or chronic hypertension, heart disease, diabetes mellitus, anaemia, collagenosis, and risk for preterm delivery. 22 (6.8 %) women had two associated disorders	No Comparison between women who presented with major depression and those who did not revealed no significant differences in preterm delivery, birth weight or low Apgar scores	5

Table 2 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables ^a (NOS guidelines: 0–2 stars)	Primary results	Quality assessment (NOS 5–9 stars; Mean = 7.2)
23.	Goedhart et al. [136]	N = 8,050 Women in Amsterdam	Prospective, community-based, multicenter, cohort study. Assessed after 1st prenatal visit (12 weeks) with mailed CESD. Used cutoff of >16 for depressed	2 stars Medical: maternal age, parity, pre-pregnancy BMI, hypertension Socio-demographic: education, ethnicity Behavioral: alcohol and drug use Found a small mediation effect of maternal smoking	No No association of CESD with PTB	8 Quality assessment (NOS 5–9 stars; Mean = 7.2)
24.	Wang and Chen [123]	N = 431 Taiwan, mod-high SES	Cohort study with multiple sites; depression assessed at 17–38 weeks gestation with EPDS	0 stars Medical: None Socio-demographic: None Behavioral: None.	No Prenatal depression was not significantly associated with any obstetric outcomes studied	7
25.	Bodecs et al. [92]	N = 261 Hungarian women	Cohort study with multiple sites; depression assessed at enrollment at average of 8.13 weeks gestation with 9-item BDI	2 stars Medical: maternal age, parity Socio-demographic: marital, educational and socioeconomic status Behavioral: smoking, alcohol, and vitamins	No for PTB Maternal depression had no association with birth outcomes GA also assessed.	8
26.	Shaikh et al. [137]	N = 132 Pakistani	Prospective cohort study. Assessed at 28–30 weeks with the CESD	1 star Medical: Excluded diabetes mellitus, thyroid disorder, chronic renal or heart disease, or uterine and cervical abnormality Socio-demographic: None Behavioral: Excluded antidepressants or other psychotropic drugs	No In the univariable logistic regression analysis depression was not associated with PTB	7
27.	El Marroun et al. [82]	N = 7,696 Netherlands	Regional case-control study; depression assessed at mean gestational age 20.6 weeks with BSI	2 stars Medical: maternal age, body mass index, parity, sex of child Socio-demographic: maternal education, ethnicity Behavioral: smoking and drinking habits, and benzodiazepine use	No for PTB GA also assessed. SSRI-exposed were at higher risk for PTB (OR 2.14). No sig association of PTB and depression in women not using SSRI Results were consistent, whether using GA or PTB, but only in those not treated with SSRI	8

Table 2 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables ^a (NOS guidelines: 0–2 stars)	Primary results	Quality assessment (NOS 5–9 stars; Mean = 7.2)
28.	Hayes et al. [23]	N = 228,876 USA—Tennessee	Retrospective cohort study. Collected ICD9 codes for depression (included if diagnosed DURING pregnancy only) and antidepressant prescription and fillings in Medicaid database	2 stars Medical: maternal age, parity, infant sex, adequacy of prenatal care Socio-demographic: race, education Behavioral: smoking, comorbid anxiety, substance abuse Dx, coexisting Psych Dx, and antidepressants b4 LMP	No Second trimester anti-depressant use, 9 not depression diagnosis, was associated with PTB	
29.	Ibanez et al. [84]	N = 1,624 in models French women (N = 2,002 entire sample)	Case-control study in two regions in France. Assessed between 24 and 28 weeks with CESD	2 stars Medical: maternal age, parity, pre-pregnancy BMI, hypertension, and infant sex. Excluded Hx diabetes Socio-demographic: level of education, and center	Yes GA also assessed No association for women who were just depressed (CESD >16) and not also anxious	
30.	Okun et al. [26]	N = 217 USA	Prospective Observational Study Assessed at 20, 30, and 36 weeks gestation with the SCID	Behavioral: smoking No stars (for relevant analyses) For sleep analyses Medical: maternal age and history of PTB	No There were no overall differences in the number of PTBs between depressed and non-depressed women	
31.	Ruiz et al. [138]	N = 470 Hispanic USA	Cross-sectional study. Assessed at 22–24 weeks with the CESD	Socio-demographic: employment, marital status Behavioral: MDD and SSRI Medical: history of PTB, preeclampsia, infections during pregnancy, age, BMI Socio-demographic: marital status Behavioral: those using antidepressants were excluded	No PTB occurred more often among women who were using SSRI medications at 20 and 30 weeks gestation Depressive symptoms did not directly predict PTB in the model; however, the combination of depressive symptoms with progesterone and E3 predicted PTB, indicating that the combined impact of these factors produced the greatest risk	
32.	Savitz et al. [128]	N = 4,251 USA PIN Study	Prospective cohort study. Assessed during 24–29 weeks with the CESD and Medical Outcomes Study Social Support Survey	2 stars Medical: age, parity, BMI Socio-demographic: race and education Behavioral: exercise, smoking, social support	Yes CESD alone was not significantly associated with PTB In high risk group (including depression, smoking, exercise etc.) they did find a significant association with PTB	8

Table 2 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables ^a (NOS guidelines: 0–2 stars)	Primary results	Quality assessment (NOS 5–9 stars; Mean = 7.2)
33.	Subramanian et al. [121]	N = 970 low income African American women	Regional case-control intervention study; depression assessed at enrollment, 2nd trimester, 3rd trimester, and postpartum with 7-item BDI and HSCL-D	2 stars Medical: age, previous birth outcomes, diabetes, hypertension Socio-demographic: education, marital status, employment, Medicaid/WIC status Behavioral: drug and alcohol use	No No significant associations between depression and any birth outcomes (not PTB, LBW, or SGA)	8 Mean = 7.2
34.	Yonkers et al. [24]	N = 2,793 USA	Prospective cohort study. Assessed at 3 time points (<17 weeks gestation; 28 ± 2 weeks gestation; 8 ± 4 weeks postpartum) Depression module of World Mental Health Composite Int'l Diagnostic Interview	2 stars Medical: mother's age, history of PTB. Excluded if suffered from insulin-dependent diabetes Socio-demographic: education, race Behavioral: smoking, illicit drug further adjusted for # lifetime hospitalizations, age of depressive onset, # of prior depressive episodes, PTSD, GAD, panic disorder in pregnancy, and suicidal thoughts in pregnancy	Yes SRI use (separate from illness) is a clear risk factor for PTB Uncertain risk of PTB associated with depression. Ns are small for SPTB (MDD + SRI N = 2; MDD-SRI N = 6)	9
35.	Gawlik et al. [99]	N = 273 German	Prospective case-control study. Assessed late 2nd or 3rd trimester (>24 weeks), mean 32 weeks, with EPDS (phone SCID for those screened >12 on EPDS)	1 star Medical: Maternal age and infant sex Excluded if serious medical condition. No antidepressants	No for PTB GA also assessed Note: Of the 14 women above EPDS cutoff, 10 met SCID criteria for anxiety disorders and only 4 for depression	7 Mean = 7.2

^a Control variables: SPTB addressed adequately

NOS Star system allows for ratings of adequate controls: A study that controls for any 3+ variables from two categories (1) medical risk factors (e.g. maternal age, parity, previous PTB, gestational diabetes, chronic hypertension, infections, pre-pregnancy BMI; (2) sociodemographic factors (e.g. Race/ethnicity, education, employment, SES, marital status etc.) and (3) behavioral factors (e.g. Smoking, alcohol, drugs (incl. antidepressants), physical activity etc.) receives 1 star. A study that controls for any 4+ variables in all three categories above receives 2 stars

Table 3 Prenatal depression and LBW/BW—11 significant associations in chronological order

Nos.	References	Participants (details)	Study design, timing of assessment and measures ^a	Control variables (NOS guidelines: 0–2 stars) ^a	Primary results	Quality assessment (NOS 5–9 stars; Mean = 6.5)
				GA		
1.	Rondó et al. [127]	N = 865 pregnant women in Jundiaí, Brazil	Regional cohort study with 17 sites; depression assessed at 16, 20–26, and 30–36 weeks with GHQ-12	2 stars Medical: age, parity, prior history of LBW Socio-demographic: SES, marital status, education, per capita income Behavioral: smoking, alcohol use, coffee use	No Mothers with GHQ scores higher than 3 at the second interview (20–26 weeks) were more likely to have LBW infant (RR 1.97, $p = 0.019$)	7
2.	Rahman et al. [103] Same cohort as Rahman et al. [71]	N = 143 depressed pregnant women and 147 non-depressed pregnant women in rural Pakistan	Regional case-control study; depression assessed during 3rd trimester with SCAN	2004: 1 star Medical: age, maternal BMI Socio-demographic: social support, age of other children Behavioral: None Excluded: premature births, smokers, physical illness treatment, 'eventful' pregnancies	No 2004: Depressed mothers more likely to have LBW infant than non-depressed mothers (RR 2.1) 2007: Infants of depressed mothers had lower BW than those of non-depressed mothers (OR 2.2)	8
3.	Neggers et al. [102]	N = 3,149 pregnant U.S. low-income women in Jefferson County, AL; 81 % African American	Regional cohort study with multiple sites; depression assessed at 22–23 weeks gestation with the Abbreviated Scale for Assessment of Psychosocial Status in Pregnancy	2007: 1 star Medical: low BMI, firstborn Socio-demographic: relative poverty, nuclear family, maternal empowerment, antenatal consultation Behavioral: None	No 2007: Infants of depressed mothers had lower BW than those of non-depressed mothers (OR 2.2)	7
4.	Patel and Prince [139]	N = 245 pregnant women in Mapusa, Goa, India	Cohort study with one site; depression assessed at more than 30 weeks (mean = 34) with GHQ-12	2 stars Medical: age Socio-demographic: income, antenatal IPV, maternal and paternal education Behavioral: None Excluded: premature births	No Depression associated with LBW (OR 1.53 for each quartile increase in GHQ score)	6

Table 3 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables (NOS guidelines: 0–2 stars ^a	Primary results	Quality assessment (NOS 5–9 stars; Mean = 6.5)
				GA		
5.	Rogal et al. [95]	N = 1,100 pregnant U.S. women in CT; 87 % non-Caucasian	Regional cohort study with multiple sites; depression assessed at any point during pregnancy with the PRIME-MD BHQ	2 stars Medical: age, panic disorder, PTSD Socio-demographic: race	No Mothers with minor depression more likely to have LBW infant (OR 1.91; OR 1.82 in final model)	
6.	Field et al. [96]	N = 430 U.S. pregnant women; 55 % Hispanic, 23 % African American, 22 % non-Hispanic White	Regional; case-control study with multiple sites; depression assessed at 20–24 weeks (mean = 2), 32 weeks, after delivery with CES-D; SCID used to categorize	0 stars Medical: None Socio-demographic: None Behavioral: None Excluded: smoking, alcohol or drugs during pregnancy or antidepressants use	No Depressed group had newborns with lower birth weight (3332.3 vs. 3093.3 g; $p < .05$)	
7.	Diego et al. [100]	N = 79 U.S. women in Miami, FL; 59 % Hispanic, 32 % African American, 9 % Caucasian	Regional case-control study; depression assessed at enrollment at 18–20 weeks gestation with CES-D; SCID used for categorization	2 stars Medical: age, see exclusions Socio-demographic: SES, marital status Behavioral: See exclusions Excluded: hypertensive disorders, anemia, vaginal bleeding, IUGR; pregnancy complication; HIV or infectious disease; abnormal pre-pregnancy BMI, metabolic disorders or any psychiatric condition other than depression; smoking, alcohol, drugs, antidepressants or other psychotropic medications	Yes Prenatal depression was significantly associated with BW Depressed women had 15 % greater incidence of LBW than non-depressed women (89.1 vs. 81.4 g/gestational week; $p = .008$)	
8.	Nasreen et al. [140]	N = 583 pregnant women in Bangladesh; 50 % below poverty level	Cohort study with multiple sites; depression assessed during 3rd trimester with EPDS	1 star Medical: maternal malnutrition Socio-demographic: household economic status, emotional support, joint family status, anxiety Behavioral: None Excluded: multiple pregnancies	No Depressive symptoms were significantly associated with LBW (OR 2.24)	

Table 3 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables (NOS guidelines: 0–2 stars ^a	Primary results	Quality assessment (NOS 5–9 stars; Mean = 6.5)
				GA		
9.	Liu et al. [106]	N = 755 U.S. pregnant women in Durham NC; 56 % African American, 44 % Caucasian	Regional cohort study with five sites; depression assessed at enrollment at 4–32.5 weeks (mean = 12) with CES-D	2 stars Medical: pre-pregnancy/early prenatal BMI, delivery mode, parity, PTB history Socio-demographic: education Behavioral: smoking, folic acid use, psychotropic medication use during pregnancy	No Severe depressed mood associated with higher risk of LBW (OR 3.6); association limited to black women (OR 7.2) and more pronounced for female infants (OR 7.5)	6
10.	Straub et al. [104]	N = 14,175 pregnant U.S. women: 70.8 % Caucasian, 7.8 % African American, 13.7 % Hispanic	National case-control study with multiple sites; depression assessed at 24–28 weeks with EPDS; EPDS ≥ 12 or yes to self-harm question considered at risk	I star Medical: age, preterm delivery history Socio-demographic: race/ethnicity, insurance status Behavioral: None	No Women at risk for depression had infants with lower birth weight than those not at risk ($p = 0.019$)	7
11.	Earnshaw et al. [101]	N = 420 U.S. women aged 14–21 in NYC; 62 % Latina, 38 % African American; 28 % foreign born	Regional cohort study with multiple sites; depression assessed at 14–24, 32–42 weeks, 6–12 months postpartum with CES-D with somatic symptoms dropped	0 stars Medical: None Socio-demographic: None Behavioral: None	Yes Depressive symptoms associated with decreased BW (correlation -1.5^b , -1.13^b in final path model). Also associated with LBW	6

^a Control variables: NOS Star system allows for ratings of adequate controls: A study that controls for any 3+ variables from two categories (1) medical risk factors (e.g. maternal age, parity, previous PTB, gestational diabetes, chronic hypertension, infections, pre-pregnancy BMI; (2) sociodemographic factors (e.g. Race/ethnicity, education, employment, SES, marital status etc.) and (3) behavioral factors (e.g. Smoking, alcohol, drugs (incl. antidepressants), physical activity etc.) receives 1 star. A study that controls for any 4+ variables in all three categories above receives 2 stars

Table 4 Prenatal depression and LBW/BW—18 non-significant associations in chronological order

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables (NOS guidelines: 0–2 stars) ^a	GA	Primary results	Quality assessment (NOS 5–9 stars; Mean = 7.2)
1.	Copper et al. [120]	N = 2,593 pregnant U.S. women; 63 % Black, 35 % Caucasian, 1 % Hispanic	National sample with multiple sites; depression assessed at 25–29 weeks gestation with Abbreviated Scale for the Assessment of Psychosocial Status in Pregnancy (incl. CESD)	2 stars Medical: age Socio-demographic: race, marital status, government insurance status, education Behavioral: tobacco, alcohol, drug use during pregnancy Excluded: multiple pregnancies, pregnancy complications	No ^b Depression was not significantly associated with LBW	7	
2.	Goldenberg et al. [83]	N = 1,491 pregnant U.S. low-income, women; 69 % Black, 31 % Caucasian	Prospective cohort study. Assessed depression at 24–26 weeks gestation with CESD	2 stars Medical: Maternal BMI, height, hypertension and diabetes, infant sex Socio-demographic: race	No ^a No significant associations with BW or LBW	7	
3.	Shiono et al. [141]	N = 1,150 pregnant U.S. women in Chicago and NYC; 30 % African American, 13 % Chinese, 13 % Dominican, 15 % Mexican, 11 % Puerto Rican, 19 % Caucasian	Cohort study with six sites in two regions; depression assessed at 16–28, 32 weeks with CES-D	2 stars Medical: age, BMI Socio-demographic: ethnicity, marital status, education, poverty, insurance type Behavioral: smoking Excluded: women using medications for diabetes, heart disease, kidney disease or hypertension	No ^b Depression not significantly associated with birth weight	7	
4.	Lundy et al. [142]	N = 63, USA 46 % Hispanic, 41 % African American, 13 % non-Hispanic White	Case-control study with one-site; depression assessed during 3rd trimester (mean = 32.3 weeks gestation) with CES-D and DIS	0 stars Medical: None Socio-demographic: None Behavioral: None	No No significant differences in BW between depressed and non-depressed mothers	6	

Table 4 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables (NOS guidelines: 0–2 stars) ^a	GA	Primary results	Quality assessment (NOS 5–9 stars; Mean = 7.2)
5.	Andersson et al. [86]	N = 1,465 pregnant women in Sweden	Cohort study with two sites. Depression assessed before ultrasound at 16–18 weeks gestation with PRIME-MD	2 stars Medical: age, parity, 1st trimester BMI, chronic disease	No ^b No significant differences in LBW rates between women with prenatal depressive disorders and healthy subjects	9	
6.	Berle et al. [19]	N = 680 pregnant women in Norway	National cohort study; depression assessed during 1st, 2nd and 3rd trimesters of pregnancy with HADS	1 star ^a Medical: age	No No significant differences in LBW rates between women who presented major depression and those that did not	7	
7.	Eisenbruch et al. [43]	N = 896 pregnant women in Germany	Cohort study; depression assessed in 1st trimester with ADS-K (German version of CES-D)	Socio-demographic: education Behavioral: smoking, alcohol, psychotropic medication use ^a Because crits only included in first step of analyses	No Depression did not significantly predict BW		
8.	Xiong et al. [22]	N = 301 pregnant U.S. women in LA; 43.8 % non-hispanic White, 41.6 % Black	Regional cohort study with two sites; depression assessed once at any point in pregnancy with EPDS	2 stars Medical: age, parity, LBW history	No Depression not significantly associated with an increased LBW risk	7	
9.	Bae et al. [18]	N = 114 pregnant women in Seoul, Korea	Regional case-control study with one site; depression assessed during 1st, 2nd, 3rd trimesters with BDI; BDI <10: low depression, BDI >10: high depression	0 stars Medical: age Socio-demographic: None Behavioral: annual alcohol use, frequency of coffee intake Excluded: multiple pregnancies	No No significant differences in BW between high and low depression groups	5	

Table 4 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables (NOS guidelines: 0–2 stars) ^a	GA	Primary results	Quality assessment (NOS 5–9 stars; Mean = 7.2)
10.	Benute et al. [94]	N = 326 pregnant women with a medical disorder in Sao Paulo, Brazil	Regional case-control study with one site; depression assessed at mean gestational age 28.2 weeks with PRIME-MD	0 stars Medical: None Socio-demographic: None Behavioral: None	No ? ?	No significant differences in BW between women who presented with depression and those who did not No significant differences in BW between depressed and non-depressed mothers	5 5
11.	Field et al. [69]	N = 911 pregnant U.S. women; 59 % Hispanic, 32 % Black, 9 % Caucasian	Regional case-control study with one site; depression assessed at 20 weeks gestation with CES-D; SCID used to categorize	0 stars Medical: None Socio-demographic: None Behavioral: None	No No No	Depression not significantly associated with birth weight	7
12.	Wang and Chen [123]	N = 431 pregnant women in Taiwan	Cohort study with multiple sites; depression assessed at 17–38 weeks gestation with EPDS	0 stars Medical: None Socio-demographic: None Behavioral: None	No	Depression not significantly associated with BW	8
13.	Bodecs et al. [92]	N = 261 pregnant women in Hungary	Cohort study with multiple sites; depression assessed at enrollment at average of 8.13 weeks gestation with 9-item BDI	2 stars Medical: maternal age, parity Socio-demographic: marital, educational and socioeconomic status Behavioral: smoking, alcohol, and vitamins	No	Depression not significantly associated with BW	8
14.	El Marroun et al. [82]	N = 7,696 pregnant women in the Netherlands; 52 % Dutch, 40 % non-Dutch/non-Western	Regional case-control study; depression assessed at mean gestational age 20.6 weeks with BSI	2 stars <u>Medical: age, enrollment BMI, obstetric information</u> Socio-demographic: ethnicity, education Behavioral: smoking, alcohol, marijuana use Excluded: SSRI use before pregnancy, multiple pregnancies	No	No significant differences in BW or LBW rates between mothers with depressive symptoms not using SSRIs, mothers using SSRIs, and mothers without depressive symptoms not using SSRIs	8

Table 4 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables (NOS guidelines: 0–2 stars) ^a	GA	Primary results	Quality assessment (NOS 5–9 stars; Mean = 7.2)
15.	Ibanez et al. [84]	N = 1,719 pregnant women in France	Case-control study in two regions in France. Assessed at 24–28 weeks gestation with CES-D	2 stars Medical: age, parity, pre-pregnancy BMI, infant sex, hypertension	No ^b No significant differences in BW between women	8	
16.	Nylen et al. [97]	N = 211 pregnant U.S. women in IA	Cohort study; depression assessed in 1st [104] or 2nd [131] trimester using the SCID-I	2 stars Medical: age, previous pregnancy complications, medical condition (kidney disease, epilepsy, diabetes, hypertension, anemia, HIV or infectious disease, etc.)	Yes No significant main effects of depression on birth weight	9	
17.	Subramanian et al. [121]	N = 1,044 pregnant U.S. low income African American women in Washington, DC	Regional case-control intervention study; depression assessed at enrollment, 2nd trimester, 3rd trimester, and postpartum with BDI and HSCL-D	2 stars Medical: age, previous birth outcomes, diabetes, hypertension	No ^b Depression not significantly associated with LBW or VLBW	8	

Table 4 continued

Nos.	References	Participants (details)	Study design, timing of assessment and measures	Control variables (NOS guidelines: 0–2 stars) ^a	GA	Primary results	Quality assessment (NOS 5–9 stars; Mean = 7.2)
18.	Wisner et al. [143]	N = 238 pregnant U.S. women in OH and PA; 80 % Caucasian, 18 % African American, 2 % other SCID	Cohort study with two sites in two regions; depression assessed 20, 30, 36 weeks gestation with the SCID	2 stars Medical: age, exposure to FDA class D or X agents	Yes Socio-demographic: race	No significant differences in birth weight between women classified into three groups: no SSRI/no depression, SSRI, MDD	9

^a Control variables: NOS Star system allows for ratings of adequate controls: A study that controls for any 3+ variables from two categories (1) medical risk factors (e.g. maternal age, parity, previous PTB, gestational diabetes, chronic hypertension, infections, pre-pregnancy BMI); (2) sociodemographic factors (e.g. Race/ethnicity, education, employment, SES, marital status etc.) and (3) behavioral factors (e.g. Smoking, alcohol, drugs incl. antidepressants), physical activity etc.) receives 1 star. A study that controls for any 4+ variables in all three categories above receives 2 stars

^b Study did include GA in some analyses or as part of another outcome (i.e. small for gestational age, intrauterine growth restriction), but did not control for GA in the relevant analyses

Design

A total of 63 of the 76 articles were observational studies and 13 were case-control design. As can be seen in Tables 1, 2, 3 and 4, many of the investigations fulfilled criteria for moderate to high methodological quality (median NOS score = 7, range of 5–9). Studies with lower scores typically did not treat confounding variables extensively and/or had unclear information regarding attrition or depression assessment. Results were evaluated as significant if results of the key tests were $p < 0.05$.

PTB and GA Outcomes

Fifty sets of findings related to PTB and/or GA were identified from 47 published studies. Tables 1 and 2 list the depression measures, timing of assessments, summary of findings, and NOS ratings for each study. Thirty-six of 50 reports targeted PTB as the outcome and exactly one-quarter of the 36 ($n = 9$) report statistically significant associations with prenatal depression and PTB. For example, odds ratios range from 1.06 to 3.3 as can be seen in Table 1. Of the 14 studies on GA, three reported statistically significant associations between prenatal depression whereas a majority did not. Additionally, four studies with high methodological quality [82–85] analyzed both PTB and GA, two of which were case-control studies and two were prospective observational studies. Notably, none reported significant associations with prenatal depression. Because inconsistencies in previous reviews may be due to methodological differences, further results of the systematic review are organized into sections regarding the specific features of concern.

Important features: the following design elements from the studies are systematically reviewed in each section below

1. Sample size
2. Spontaneous PTB
3. Control variables (socio-demographic, medical, psychological)
4. Timing of depression assessment
5. Diagnostics versus self-reported symptoms

Sample Size

Although it is important to consider, the NOS does not take sample size into account. The minimum sample size based on simple power analyses for a test of associations of depression and GA or BW would be about 400 to detect a small effect size with a minimum of 500 for analyses with multiple predictors. We therefore refer for our purposes to sample sizes <500 as ‘small’ and >500 as ‘large.’ Of the nine reports of significant associations between PTB and depression, a total

of eight had samples over 500 (NOS scores from 5 to 8). Odds ratios ranged from 1.3 to 1.96. Similarly, the large majority of the 27 studies reporting null findings had samples over 500 (20 of 27; NOS scores from 6 to 9). Overall, it appears that studies with large samples and better NOS ratings were more likely to report non-significant results. For example, a methodologically rigorous antidepressant study with the largest sample ($n = 228,876$) found that second trimester anti-depressant use, *not* depression diagnosis, predicted PTB [23]. The authors, however, did not conduct separate analyses for SPTB. Two additional studies with large samples and strong methodological quality [24, 86] did address SPTB, measured depressive symptoms using standardized methods, and conducted well-controlled analyses. However, neither found significant associations between prenatal depression and SPTB.

Of the three studies reporting significant associations between prenatal depression and GA, two had sample sizes less than 500. One additional high quality study [87] had a larger sample of 4,044 women. When adjusted for potential confounders, the association between major depressive symptoms (CES-D >23) and GA remained significant with a small effect size (-0.2 weeks) but there were no effects for PTB [87]. Of the 11 non-significant results for prenatal depression and GA (see Tables 1, 2), most had relatively small samples. The largest sample of 7,697 was higher rated on quality, used the BSI screening tool, but yielded null results for both GA and PTB [82].

SPTB

Of 13 published results, ten did not find an association between prenatal depression and SPTB (NOS scores from 6 to 9). Perkin et al. [88], for instance, tested separate models for five different obstetric outcomes in a large sample of 1,515 pregnant women, and found no statistically significant associations between prenatal depression and either spontaneous or medically induced PTB.

Well-Controlled Studies

Of the 36 PTB reports, 26 included adequate or good control for known PTB risk factors. Of these, only 23 % ($n = 6$) found significant results whereas the majority (77 %, $n = 20$) did not. For example, Kramer et al. [89] controlled for 14 medical, socio-demographic and behavioral factors, and excluded women with major medical problems. They found that only pregnancy-specific anxiety independently predicted SPTB. The adjusted odds ratio for depression (CES-D ≥ 16) was 1.4 (95 % CI 1.0, 2.1), whereas the adjusted odds ratio was 1.8 (95 % CI 1.3, 2.4) for pregnancy-specific anxiety. Although pregnancy-

specific anxiety, perception of high pregnancy risk, and depression remained significantly associated with spontaneous PTB in separate multiple logistic regression analyses, only pregnancy-related anxiety retained its association in logistic models containing all 3 measures [89].

Of the 14 reports on prenatal depression and GA, eight included adequate controls. Of those eight, only two found significant associations, whereas six did not. Notably, in one of the rare studies to examine moderators, the authors reported a significant association of prenatal depression with fetal growth. Although they did not find a statistically significant association between prenatal depression and GA, they did find a significant association of prenatal depression and fetal growth in trimester-specific regression analyses in a subsample of over 200 women from lower occupational status households [90].

Timing of Depression Assessment

Of the 50 PTB/GA reports, a majority ($n = 37$) assessed depression during the second and/or third trimesters, and another 13 assessed depression more than once including the first trimester. Of the 13 with early pregnancy data, only three compared trimesters. Of these, one found that first trimester depression was associated significantly with PTB [91] and two did not [92, 93]. Of the remaining ten that did not compare trimesters, most found that prenatal depression at an unspecified time in first to third trimester was not significantly associated with PTB/GA.

Diagnosed Depression

Of the 36 PTB reports, seven examined depression diagnoses. Notably, none of these studies found that diagnosed depression significantly predicted PTB [23, 24, 26, 85, 86, 94, 95]. Of the 14 reports on GA, six included depression diagnoses. Two of the 6 found that clinically diagnosed prenatal depression significantly predicted GA, although both had relatively small samples and one lacked adequate controls [96, 97]. Of the four that reported null results [85, 98–100], three had small samples (< 100), and only one adequately controlled for covariates.

Summary of PTB/GA Studies

In sum, individual PTB or GA studies that met most of the important methodological criteria were rare. Only two investigations with strong methodologies (one on PTB and one on GA) found significant results of depression, and another two PTB articles with strong methodologies reported no significant results. Thus, taking into account the methodological quality of studies, research on depression and PTB/GA is inconclusive at best.

LBW and BW Outcomes

Thirty-three published findings related to LBW and/or BW were identified from 29 studies (see Tables 3, 4). Fifteen of 33 reports targeted LBW of which about half (53 %, n = 8 of 15) found that prenatal depression significantly predicted LBW. For example, odds ratios range from 1.4 to 3.6, as can be seen in Table 3. Another 18 targeted BW in grams, and of these, one-third (n = 6 of 18) reported statistically significant inverse associations between prenatal depression and BW. Additionally, five of the 29 LBW studies reported results for both BW and LBW. Of these, three studies found that depression was significantly associated with both LBW and BW [101–103], whereas two studies found no effects on either variable [82, 83].

Sample Size

A majority of the studies on depression and LBW had larger samples sizes. Five of the eight studies that reported that prenatal depression significantly predicted LBW and six of the seven studies that did not find significant associations had samples over 500. The largest sample was composed of 14,175 racially-diverse pregnant women and results revealed significant associations between prenatal depression (measured by the EPDS) and incidence of LBW [104]. Although sample size was a major strength of this study, there were also weaknesses including the lack of key controls, namely pre-pregnancy BMI, antidepressant use, smoking and substance use [105].

Well-Controlled Studies

The majority of the LBW reports (n = 11 of 15) included adequate controls for risk factors, yet only four of the 11 obtained significant results. The two highest quality studies, however, did report that women with prenatal depression were more likely to have LBW infants, and both involved depression diagnoses and adequately controlled for confounders [95, 103]. For example, in a sample of 1,100 racially diverse pregnant women, Rogal et al. [95] reported that women diagnosed with minor depression were more likely to give birth to an LBW infant (OR 1.82, 95 % CI 1.01, 3.29). Of the 18 studies on continuous BW scores, 8 included adequate controls, but only two of eight found significant associations. Furthermore, eighteen studies predicted both LBW/BW and PTB/GA outcomes and were therefore capable of statistically controlling for gestational age in testing LBW. However, only 4 of the 18 studies did so. Two of the four were of highest quality (NOS = 9), [97, 106] but neither found that prenatal depression was associated with BW when controlling for GA. The other two studies that controlled for GA [100,

[101] reported that prenatal depression significantly predicted both BW and LBW and, of note, they both used a more racially diverse sample. Earnshaw et al. [101] had the largest sample of the four studies (n = 420), but did not control for any other important risk factors (NOS = 6). Although Diego et al. [100] was high quality (NOS = 8), their sample was quite small (n = 79) and was not truly representative of the average pregnant community-dwelling resident. Unfortunately, close examination of all four studies does not clarify whether well-controlled studies with high quality methods are likely to find effects.

Timing of Depression Assessment

Of the 33 LBW/BW reports, a large majority (n = 25) assessed depression during the second and/or third trimester/s. Less than a third of these (n = 9 of 25) found significant results. Two more studies (of the 33) assessed depression at an unspecified time over all three trimesters and found that prenatal depression significantly predicted LBW [95, 107]. For example, Liu et al. [107] found that compared to women with no depressed mood (CES-D), infants born to women with severe depressed mood were more likely to be LBW (OR 3.6, 95 % CI 1.1,11.4). There were no effects, however, of moderate depressed mood. Furthermore, they found that this association was limited to infants born to African American women (OR 7.2, 95 % CI 1.8, 28.7) and was more pronounced in female infants (OR 7.5, 95 % CI 1.5, 38.9) [107]. The remaining 6 of the 33 studies with first trimester assessments reported no significant results.

Diagnosed Depression

Of the 15 LBW reports, only three involved diagnosed depression but two of three found that prenatal depression predicted incidence of LBW [95, 103], whereas the other did not. Another seven of 18 studies examined diagnosed depression and infant BW continuously, and three of the seven found significant associations between prenatal depression and BW [96, 100, 101] whereas four did not (see Table 3, 4).

Summary of LBW/BW Studies

Approximately half of the LBW and BW studies reported significant associations or odds ratios with prenatal depression. Of the four most precise and highest quality LBW studies controlling for GA, half report significant effects. However, on the whole, very few met most of the desirable methodological criteria. Indeed, only one LBW article had excellent methodology (large sample size and NOS = 9) and it reported no significant effects.

Discussion

Main Findings

Overall, in this systematic review, there were more published reports on PTB/GA than on LBW/BW. After dissection by study features, a clear pattern emerged showing that prenatal depression is rarely associated with PTB or GA in larger, well-controlled studies. Specifically, 75 % of published PTB findings from 1977 to 2013 did not obtain statistically significant associations with prenatal depression, no matter how it was measured. Significant associations were least likely for SPTB or for clinically-diagnosed depression. Many studies used relatively short screening tools to evaluate levels of depressive symptoms and cutoff scores for identifying clinically significant depressive symptoms. Although this practice is common, it does not equate with a clinical diagnosis by a structured interview. It is also important to note that screening tools that include many somatic symptoms characteristic of depression may produce artificially high scores in pregnancy due to somatic symptoms typical of pregnancy such as fatigue. Screening tools designed for the pregnancy period (like the EPDS) do not include such symptoms.

In contrast to the literature on PTB and GA, the LBW/BW literature is characterized by fewer studies as a whole with “small” sample sizes, less well-controlled analyses, and fewer studies using validated clinical interviews. Nonetheless, we found that about half (53 %) of published LBW findings reported statistically significant associations with prenatal depression. Additionally, of the four most precise and highest quality LBW studies controlling for GA, again half report significant effects. This makes it hard to know if the “glass is half full or half empty” but it provides a clear sense of what evidence we now have and of what quality. With few exceptions, researchers have failed to control for time of delivery (GA) when predicting LBW which confounds length of gestation with fetal growth rate. The advantage of analyses that control for gestational age in testing LBW is to clarify mechanisms. If a baby is LBW and it is not due to shorter gestational length, then slower or impaired fetal growth was likely to be involved. Depressive symptoms in pregnancy were associated with birth weight controlling for timing of delivery in two of the four methodologically strong studies, but much less often with GA or PTB (25 % of studies). Furthermore, when examined by race/ethnicity of the participants, the results become more consistent for depression predicting LBW/BW. Specifically, three studies with samples of mostly non-Caucasian women all found significant inverse associations of prenatal depression and LBW/BW and no significant associations for PTB/GA [95, 100, 102].

Interpretation

In contrast to some prior reviews, we used systematic methods (i.e. PRISMA guidelines and NOS ratings) and covered a longer time period and more recent published studies. This systematic review also excluded all studies which used retrospective assessment of prenatal depression ($n = 20$), studies using measures of depression that were clearly confounded with anxiety or stress, and studies that did not use a reliable or valid measure of depression. By excluding the large number of retrospective studies, this review helps to clarify inconsistencies in the literature further. We also refined our review by excluding studies that combined unipolar with bipolar depression (or other psychiatric diagnoses), and that grouped depression along with other “high risk” symptoms and behaviors. Thus, this review focused specifically on the very best evidence available, and finds that the evidence slightly favors an association between prenatal depression and LBW, and is much less clear for spontaneous PTB, let alone SGA which did not have as many, or as strong, a set of studies to review.

Among adequately controlled analyses reporting significant associations between prenatal depression and PTB, many were lower in methodological quality due to smaller sample size and lack of attention to whether the onset of labor was spontaneous or not. Additionally, the timing of depression diagnosis or screening differed between studies. We observed that studies including depression assessment during the first trimester resulted in fewer significant adverse outcomes (PTB or LBW) than depression assessed at later times in pregnancy, which may suggest that enduring or mid to late pregnancy depression has the greatest effects on fetal development. Vulnerable windows across gestation for adverse effects of prenatal depression may indicate that different mechanisms are operating at different times [108]. Mechanisms whereby depression in mid to late pregnancy affects fetal growth and ultimately BW are likely to involve health behaviors such as diet or physical activity [109]. Those affecting PTB via anxiety are frequently attributed to changes in the hypothalamic–pituitary–adrenal (HPA) axis [110]. Inflammatory mechanisms may also be involved in both pathways as these mechanisms interact with one another and are not independent. These issues require further research.

Several recent reviews and meta-analyses have mentioned that untreated prenatal depression may have more detrimental effects on birth outcomes than antidepressant use [16] whereas others found antidepressants to be harmful [18]. Some of the most methodologically rigorous studies that we reviewed (NOS = 9) were antidepressant studies, most of which found that anti-depressant use—not depression—was associated with PTB e.g. [23, 24]. This fact underlines the importance of attending to medication use in any study of depression or depressive symptoms in pregnancy.

Strengths, Limitations and Research Directions

A strength of this systematic review is full attention to the methodological quality and characteristics of research designs. Because a majority of studies reported null findings, we are not as concerned with publication bias but it cannot be ruled out. Additionally, the studies reviewed here included women from countries other than North America and Europe and women of many races and ethnicities other than Caucasian. Some limitations include the paucity of randomized controlled trials (RCT) in this literature. Although several RCTs attempting to address prenatal depression have been published, only one recent study focused on preventing adverse birth outcomes by reducing depressive symptoms [111]. To do so, this study provided yoga and massage therapies and found decreases in depression and anxiety and longer gestational lengths and higher birth weight in the therapy groups than the no intervention control group. Therefore, future studies applying psychosocial and complementary treatments of depression to reduce PTB and LBW might clarify the picture if designed with state of the art RCT methods. Finally, an updated meta-analysis would be worthwhile as this literature continues to grow.

Implications for Practice

On the basis of the evidence reviewed here, depression screening with instruments that have been validated for use during pregnancy is merited at multiple time points throughout pregnancy—not just in the first trimester. Such a practice may enable detection and timely treatment to potentially reduce risk for adverse birth outcomes and certainly reduce risk of postpartum depression. Non-pharmacological treatments for depression, such as cognitive behavioral therapy [112, 113] and complementary treatments [111] can produce symptom relief with minimal side-effects, although pharmacological treatments should not be ruled out where evidence suggests they are safe and if prescribed and monitored carefully. However, if referral and treatment systems are not in place and well-functioning, such screening is potentially unethical [114]. In the future, high quality prenatal care systems worldwide will develop the capacity for depression risk assessment and treatment in the context of monitoring pregnancies to successful birth.

Conclusion

In conclusion, the evidence on depression and birth outcomes is equivocal despite considerable attention to the topic. When considering only the highest quality studies, prenatal depression was implicated slightly more as a risk

factor for LBW/BW than in spontaneous early labor and delivery, length of gestation, and PTB, and especially in racially diverse samples. In fact, other psychosocial factors such as stress and pregnancy anxiety have been documented more consistently as contributors in the complex etiology of PTB [110]. Of note, depression, anxiety, and stress are not synonymous phenomena and cannot be treated as such by researchers. Indeed, stress is usually defined in the literature in ways that are quite distinct from definitions of depression or anxiety [115, 116]. Furthermore, depressive and anxiety symptoms can occur independently of stress exposures, although they are known to be exacerbated by stressors. For example, in the stress diathesis model, high levels of stressors such as major negative life events are posited to contribute to the onset of affective disorders [117]. Also, the term “distress” is often confused with stress but it refers to negative affect in general, including anxiety, depression, and other negative mood states in the literature, and not to stress per se. Finally, the symptoms of anxiety and depression are not measured in the same ways as stress. Therefore, we suggest that future studies should be designed specifically to examine the links between prenatal depression and anxiety (distinct from stress) and adverse birth outcomes.

When studying depression, which was the focus of this review, future work must include validated screening tools for prenatal depression—not retrospectively assessed symptoms and not instruments designed to study depression in the postpartum period—and should control in the research design for the timing of depression assessments during pregnancy. Researchers are also advised to collect complete medical histories for preconception, prenatal medical risks, and obtain full information on health behaviors associated with risk for PTB or LBW. We recommend sampling ethnically diverse samples of at least 500 women in such studies. Finally, the most useful studies will control for gestational age when predicting LBW, and distinguish SPTB from medically-induced PTB when this is the primary outcome. The next generation of studies on prenatal depression and birth can help inform practice with respect to screening and interventions that are designed to increase the quality of life in pregnant women suffering with depression, and perhaps may help to reduce the likelihood of adverse birth outcomes and the effects on offspring.

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Ethical standard This study involved published data, and so did not require ethics approval.

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